



Shelby County Government

A C Wharton, Jr.
Mayor

June 30, 2009

The Honorable Deidre Malone
Chairman, Shelby County Board of Commissioners
160 N. Main, Suite 450
Memphis, TN 38103

Dear Chairman Malone:

Pursuant to the rules and regulations governing the Memphis and Shelby County Center City Revenue Finance Corporation, I hereby nominate Martin Truitt for reappointment, Luke Yancy IV and Dana Burkett for appointment, and Brandy Johnson-Ward for joint City-County appointment. Terms of Service shall begin upon Commission approval and will expire as listed below:

Reappointment:
Martin Truitt

Expiration
12/31/2014

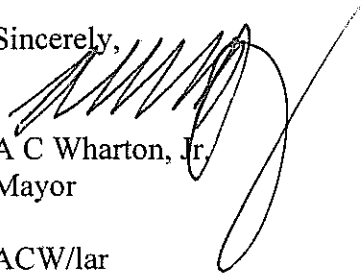
Appointment

Luke Yancy IV
Dana Burkett
Brandy Johnson-Ward (joint)

12/31/2014
12/31/2014
12/31/2014

For your use, biographical information is attached and approval of the Board of Commissioners will be appreciated.

Sincerely,


A C Wharton, Jr.
Mayor

ACW/lar

Enclosure

SUMMARY

I. Description of Item

This resolution nominates Martin Truitt (BM) for reappointment, Luke Yancy IV (BM) and Dana Burkett (WF) for appointment and Brandy Johnson-Ward (BF) for joint City-County appointment to the Memphis and Shelby County Center City Revenue Finance Corporation.

II. Source and Amount of Funding

III. Contract Items

A. Type of Contract – N/A

B. Terms – N/A

IV. Additional Information Relevant to Approval of this Item

Members of the Memphis and Shelby County Center City Revenue Finance Corporation are appointed for a term of six (6) years. This is the first term Luke Yancy IV, Dana Burkett, and Brandy Johnson-Ward. Martin Truitt has been member since 12/31/2002. Luke Yancy IV is replacing Johnny Moore, Jr. who has resigned, Dana Burkett is replacing Paul Brown who is term limited, and Brandy Johnson-Ward is replacing Cheryl Patterson who has resigned.

Board Members

| <i>Appointments</i> | <i>Resolution Date</i> | <i>Expire Date</i> | <i>Member Since</i> | <i>Race</i> | <i>Term</i> |
|---|-------------------------------|---------------------------|----------------------------|--------------------|--------------------|
| <i>M/SC Center City Rev Fin Corp</i> | | | | | |
| Mr. Paul Brown | 09/27/2004 | 06/18/2009 | 03/26/1984 | | 6 |
| Mr. Wesley Grace | 04/25/2005 | 12/31/2010 | 09/28/1992 | | 6 |
| Mr. Johnny Moore, Jr. - resigned | 09/27/2004 | 12/31/2008 | 12/31/2002 | BM | 6 |
| Ms. Cheryl Patterson - Joint -resign | 09/27/2004 | 06/18/2009 | 12/31/2002 | BF | 6 |
| Mr. Martin Truitt | 09/27/2004 | 12/31/2008 | 12/31/2002 | BM | 6 |

Riseling, Lois**From:** Eric Robertson [robertson@downtownmemphis.com]**Sent:** Friday, April 17, 2009 12:02 PM**To:** Riseling, Lois**Subject:** RE: M/SC Center City Revenue Finance Corporation

| 2008 | Jan | Feb | Mar | Apr | May | June | Jul | Aug | Sept | Oct | Nov | Dec | 7 |
|-------------------|-----|-----|-----|-----|-----|------|-----|-----|------|-----|-----|-----|-----|
| Lee Askew | | | ✓ | ✓ | | ✓ | ✓ | ✓ | | | ✓ | | 6/7 |
| Paul Brown | | | ✓ | | | ✓ | ✓ | ✓ | | | | ✓ | 5/7 |
| Wesley Grace | | | ✓ | ✓ | | ✓ | ✓ | ✓ | | | ✓ | ✓ | 7/7 |
| Gene Holcomb | | | ✓ | ✓ | | ✓ | ✓ | | | | ✓ | | 5/7 |
| Robert Mayweather | | | | | | | | | | | | | 0/7 |
| Johnny Moore | | | ✓ | ✓ | | | ✓ | ✓ | | | ✓ | | 5/7 |
| Cheryl Patterson | | | | | | | ✓ | | | | | ✓ | 2/7 |
| Lucy Shaw | | | ✓ | | | ✓ | | | | | | ✓ | 3/7 |
| Martin Truitt | | | | ✓ | | | ✓ | ✓ | | | ✓ | ✓ | 5/7 |

| 2009 | Jan | Feb | Mar | Apr | May | June | Jul | Aug | Sept | Oct | Nov | Dec | |
|-------------------|-----|-----|-----|-----|-----|------|-----|-----|------|-----|-----|-----|--|
| Lee Askew | | | | | | | | | | | | | |
| Paul Brown | ✓ | | ✓ | ✓ | | | | | | | | | |
| Wesley Grace | ✓ | | | ✓ | | | | | | | | | |
| Gene Holcomb | ✓ | | ✓ | ✓ | | | | | | | | | |
| Robert Mayweather | | | | | | | | | | | | | |
| Johnny Moore | ✓ | | ✓ | | | | | | | | | | |
| Cheryl Patterson | ✓ | | | | | | | | | | | | |
| Walter Person | | | ✓ | ✓ | | | | | | | | | |
| Lucy Shaw | ✓ | | ✓ | ✓ | | | | | | | | | |
| Martin Truitt | ✓ | | | | | | | | | | | | |

From: Riseling, Lois [mailto:Lois.Riseling@shelbycountyttn.gov]**Sent:** Friday, April 17, 2009 11:36 AM**To:** Eric Robertson**Subject:** M/SC Center City Revenue Finance Corporation

Eric,

4/17/2009

Board/Commission

Division of County Government

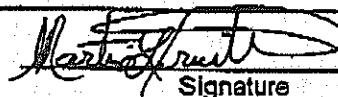
Name: Martin L. TruittDate of Birth: 6/27/73 Race B M ☒ F ☐Business Name: Discover Financial ServicesBusiness Address: 5305 Dixieplex Farms Dr Zip: 38141 Phone (901) 473-6065E-mail martin.truitt@discover.com Fax (901) 473-6068Education: BA - Univ. of Memphis, MBA. Univ. of PhoenixName of Spouse: Norma Truitt Number of Children 1Home Address: 6689 Walter Forest Cv. Zip 38141 Phone (901) 360-0923Resident of Shelby County 36 years.Have you ever been convicted of a felony? Yes ☐ No ☒I give authorization to Shelby County Government to request that the Shelby County Sheriff's Department perform a background check. Yes ☒ No ☐

I also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed.

List any affiliation or ownership interest you have in any business, corporation, or partnership, and if such business contracts with or sells products or services to Shelby County Government.

N/A

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

Roderick Truitt - MLGW
Signature4/30/09
Date

(For Office Use Only)

Attendance Record (if applicable)

No. of Meetings _____ No. Attend _____ No. Absent _____

Disposition:

Approval _____ Resolution _____ Item # _____

**NOTICE TO ALL PROSPECTIVE APPOINTEES TO SHELBY COUNTY BOARDS,
AUTHORITIES OR COMMISSIONS**

Pursuant to Resolution #26 adopted July 26, 1993, as amended, each person who submits his or her name for nomination to serve on a board, authority, or commission subject to approval by the Shelby County Board of Commissioners shall submit a list of all private country, social, athletic or professional clubs of which that person is a member. In the event it is determined that any such clubs are discriminatory in choosing their members on the basis of race, sex, or religion, the Shelby County Board of Commissioners may use this information in voting to reject the nominee.

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

- 1 Kappa Alpha Psi Fraternity, Inc.
- 2 100 Black Men of Memphis
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____

List below any of the aforementioned clubs of which you belong that do not have female members.

- 1 Kappa Alpha Psi Fraternity, Inc.
- 2 100 Black Men of Memphis
- 3 _____
- 4 _____

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g., African American, Hispanic, Asian).

1 _____
2 _____
3 _____
4 _____

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Circle Below:

Yes ☒ No

Submitted:

Martin L. Truitt
(Print Name)

Signature:

Martin L. Truitt

Date:

4/30/09

GRATUITY DISCLOSURE FORM

Shelby County Ethics Commission

INSTRUCTIONS: This form is for all persons receiving any Shelby County Government contract, land use approval or financial grant money to report any gratuity that has been given, directly or indirectly, to any elected official, employee or appointee (including their spouses and immediate family members) who is involved in the decision regarding the contract, land use approval, or financial grant of money.

1. NAME

Martin L. Truitt

2. DATE OF GRATUITY

N/A

3. NATURE AND PURPOSE OF THE GRATUITY

4. NAME OF THE OFFICIAL, EMPLOYEE, APPOINTEE, OR FAMILY MEMBER WHO RECEIVED THE GRATUITY

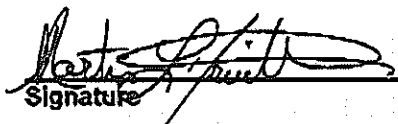
5. NAME OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

6. ADDRESS OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

7. DESCRIPTION OF THE GRATUITY

8. COST OF THE GRATUITY (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity.)

9. The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.


Signature

4/30/09
Date

Martin L. Truitt
Print Name

A copy of your completed form will be placed on the Shelby County Internet website.

Martin L. Truitt
6689 Walter Forest Cove, Memphis, TN 38141
(901) 360-0923 – home (901) 233-1264 – mobile

EXPERIENCE

DISCOVER FINANCIAL SERVICES, INC. Memphis, TN

Area Manager – Financial Planning and Analysis 2003 – Present

- Responsible for preparation of the operating center's annual budget
- Responsible for quarterly forecasts
- Manage center's annual budget of \$75 million
- Achieve annual variance of actual expenses to forecast/plan of +/- 4%
- Record monthly journal entries
- Develop variance analysis for expenses greater than 4%
- Develop and manage capital requests with cost benefit analysis
- Review and provide analysis of annual lease and maintenance contracts
- Manage accounts payables and receivables
- Manage unit cost analysis for operating departments
- Manage month-end close reporting for the Memphis Center
- Serve as center administrator for the inventory management system
- Serve as finance team lead/member for various strategic projects
- Participated in various Kaizen Events
- Spearheaded unit cost reduction program

J.P. MORGAN TRUST COMPANY, N.A. Memphis, TN

Trust Analyst 2000 - 2003

- Provide financial data to Vice Presidents and Institutional Trust Officers
- Responsible for preparation of monthly sales forecasts
- Control and correct accounts held within the automated financial system
- Manage cash flow projections for multiple accounts
- Manage assets held in custody accounts
- Develop and maintain databases for account data retrieval and reporting
- Manage daily bank account reconciliations
- Record fixed asset journal entries
- Train new employees on financial systems
- Handle time sensitive special projects

FIRST TENNESSEE BANK, N.A. Memphis, TN

Trust Specialist 1998 – 2000

- Manage account receivables and payables for paying agent accounts
- Implement rate calculation spreadsheets for payables
- Prepare monthly financial statements
- Prepare the department annual operation budget
- Handle special projects for management
- Review and analyze monthly and quarterly variances and recommend corrective action to management
- Handle corporate actions & reorganizations

AUTOZONE, INC. Memphis, TN

Loss Prevention Advisor 1997 - 1998

- Audit stores for policy and procedure compliance
- Responsible for store safety and security surveys
- Investigate financial shrink deficiencies in store audits
- Conduct new store and new employee training
- Oversee inventory of high shrink locations
- Develop new programs to aid in the reduction of company shrink

EDUCATION

Master of Business Administration

University of Phoenix Memphis, TN

Bachelor of Arts

University of Memphis Memphis, TN

SKILLS

Hyperion Solutions (Reports, Smartview, Essbase), FAST, Citrix, Mobius, MS Office (Word, Excel, PowerPoint, Access, Outlook), MS Project, Pooled Fund Systems, FOCUS, Tandem Systems, Bloomberg, AM Trust, Bondmaster, Global Plus

REFERENCES

Available upon request

Division of County Government

Approval _____ Resolution _____ Item # _____

**NOTICE TO ALL PROSPECTIVE APPOINTEES TO SHELBY COUNTY BOARDS,
AUTHORITIES OR COMMISSIONS**

Pursuant to Resolution #26 adopted July 26, 1993, as amended, each person who submits his or her name for nomination to serve on a board, authority, or commission subject to approval by the Shelby County Board of Commissioners shall submit a list of all private country, social, athletic or professional clubs of which that person is a member. In the event it is determined that any such clubs are discriminatory in choosing their members on the basis of race, sex, or religion, the Shelby County Board of Commissioners may use this information in voting to reject the nominee.

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

| | |
|---|------|
| 1 | NONE |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |

List below any of the aforementioned clubs of which you belong that do not have female members.

| | |
|---|------|
| 1 | NONE |
| 2 | |
| 3 | |
| 4 | |

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g., African American, Hispanic, Asian).


1 NONE
2 _____
3 _____
4 _____

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Circle Below:

Yes ☒ No

Submitted: LUKE YANCY, II
(Print Name)

Signature: 

Date: 7/1/09

GRATUITY DISCLOSURE FORM

Shelby County Ethics Commission

INSTRUCTIONS: This form is for all persons receiving any Shelby County Government contract, land use approval or financial grant money to report any gratuity that has been given, directly or indirectly, to any elected official, employee or appointee (including their spouses and immediate family members) who is involved in the decision regarding the contract, land use approval, or financial grant of money.

1. NAME

LUKE YANCY

2. DATE OF GRATUITY

N/A

3. NATURE AND PURPOSE OF THE GRATUITY

4. NAME OF THE OFFICIAL, EMPLOYEE, APPOINTEE, OR FAMILY MEMBER WHO RECEIVED THE GRATUITY

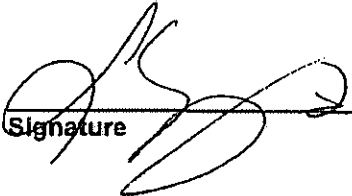
5. NAME OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

6. ADDRESS OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

7. DESCRIPTION OF THE GRATUITY

8. COST OF THE GRATUITY (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity.)

9. The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.


Signature

7/1/09
Date

Print Name

A copy of your completed form will be placed on the Shelby County Internet website.

Luke Yancy, IV

210 N. Avalon
Memphis, TN 38112
Mobile: 901-573-5999
lyancy4@comcast.net

Career Profile

Commercial Loan Officer with over ten years experience in Commercial and Retail Banking. Understands highly competitive financial markets and has achieved a record of top performance in growing the bank's sales and market share by assessing the financial needs of clients, developing financial strategies involving capital and debt structuring, real estate financing, treasury management initiatives, and by delivering sound financial solutions to clients. Comprehensive knowledge and experience in analyzing financial statements, and lending to a broad range of industries including Service Companies, Retail and Commercial Product Sales Companies, Manufacturers, Contractors and Investment Firms. Responsible for performing financial forecasts and sensitivity projection models as a component of approving short term and long term credit requests.

Key strengths include:

High Analytical Capacity
Strong Client Relationship Management

Examples of Accomplishments

High Analytical Capacity

- Provided comprehensive solutions for commercial clients that comprised addressing appropriate debt and capital structures, treasury management needs, and corporate investment strategies.
- Administered credit quality standards to existing \$100 million commercial loan portfolio consisting of business clients in a variety of industries including service companies, manufacturers, wholesalers, retailers, contractors and medical offices.
- Invited to be a member of and to participate in the First Tennessee Bank's first Loan Officer Council, which was charged with assessing operations processes and procedures of the Bank's credit delivery process and make recommendations to Executive level management
- Oversees assigned loan portfolio to ensure proper risk management and compliance with loan covenants

Strong Client Relationship Management

- Received SunTrust Bank honors for 2007 as a high performer for revenue generation, with a Performance Leader board ranking of #5 across SunTrust's national footprint within all commercial banking markets.

- Recognized as a High Volume performer for significant role in referring and developing new business banking clients for SunTrust that resulted in new relationships for the Bank
- Received both the First Tennessee Outstanding Performer honors and Top Performance Leader honors for exceeding performance goals by growing revenue of a \$20 million commercial loan portfolio over 100 relationships by 30% through the management of existing client relationships and the development of new commercial clients.
- Received the First Tennessee Leadership Circle Award for Top Performance in Client Wealth Management; This award recognized the generation of business from commercial clients through the delivery of alternative investment instruments.

Career History

Vice President, SunTrust Banks
Memphis, TN 2005 – Present

Manage \$100 million + credit portfolio consisting of high profile middle market business relationships and develop new business clients through cross-selling of all bank services, including treasury management and investment products. Also responsible for underwriting credit facilities for multi million dollar companies through extensive cash flow and profitability analysis, while implementing strict loan covenant compliance measures.

Vice President, First Tennessee National Bank
Memphis, TN, 1998-2005

Aggressively developed and serviced a \$20 million credit portfolio consisting of over 100 business client relationships representing diversified industries. Responsible for communicating and proposing appropriate underwriting and credit structure for existing and new client transactions to senior management.

Credit Analyst, First Tennessee National Bank
Memphis, TN 1996-1998

Responsible for Supporting the Bank's lending units by providing detailed financial and performance analysis of the existing clients and prospects of the Banks' loan portfolio.

Education

Bachelor of Science
University of Tennessee at Knoxville, 1996
-Comprehensive Major: Finance

Post Graduate Business Courses

Graduate- Summa Cum Laude
-Barrett School of Banking, 2003

Graduate
-Southeastern School of Commercial Banking, 1998

Prior Community Affiliations

Urban Art Commission- Board Member/Treasurer

Shelby Farms Park Alliance – Board Member

St. Andrew Church – Stewart Board

Memphis Second Chance Program – Board Member/Treasurer

Center City Commission
Board/Commission

Division of County Government

Name: DANA BURKETT

Date of Birth: 11-25-69 Race White M F X

Business Name: COMMERCIAL BANK AND TRUST Co. Inc.

Business Address: 2000 UNION AVE. Zip: 38104 Phone 272-7300

E-mail dburkett@cbtnet.com Fax 272-2240

Education: UNIV. OF MEMPHIS

Name of Spouse: JAMES "Adam" BURKETT Number of Children 2

Home Address: 4431 MINDEN Rd Zip 38117 Phone 763-2669

Resident of Shelby County 39 years.

Have you ever been convicted of a felony? Yes No ✓

I give authorization to Shelby County Government to request that the Shelby County Sheriff's Department perform a background check. Yes ✓ No

I also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed.

YES

List any affiliation or ownership interest you have in any business, corporation, or partnership, and if such business contracts with or sells products or services to Shelby County Government.

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

JAMES Adam BURKETT - LKQ CORP - NO

Dana Burkett

Signature

6-25-09

Date

(For Office Use Only)

Attendance Record (if applicable)

No. of Meetings No. Attend No. Absent

Disposition:

Approval Resolution Item #

**NOTICE TO ALL PROSPECTIVE APPOINTEES TO SHELBY COUNTY BOARDS,
AUTHORITIES OR COMMISSIONS**

Pursuant to Resolution #26 adopted July 26, 1993, as amended, each person who submits his or her name for nomination to serve on a board, authority, or commission subject to approval by the Shelby County Board of Commissioners shall submit a list of all private county, social, athletic or professional clubs of which that person is a member. In the event it is determined that any such clubs are discriminatory in choosing their members on the basis of race, sex, or religion, the Shelby County Board of Commissioners may use this information in voting to reject the nominee.

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

- 1 _____
- 2 _____
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____

List below any of the aforementioned clubs of which you belong that do not have female members.

- 1 _____
- 2 _____
- 3 _____
- 4 _____

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g., African American, Hispanic, Asian).

1 _____
2 _____
3 _____
4 _____

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Circle Below:

Yes No

Submitted:

DANA BURKETT

(Print Name)

Signature:

Dana Burkett

Date:

6-25-09

GRATUITY DISCLOSURE FORM

Shelby County Ethics Commission

INSTRUCTIONS: This form is for all persons receiving any Shelby County Government contract, land use approval or financial grant money to report any gratuity that has been given, directly or indirectly, to any elected official, employee or appointee (including their spouses and immediate family members) who is involved in the decision regarding the contract, land use approval, or financial grant of money.

1. NAME

DANA Burkett

2. DATE OF GRATUITY

N/A

3. NATURE AND PURPOSE OF THE GRATUITY

N/A

4. NAME OF THE OFFICIAL, EMPLOYEE, APPOINTEE, OR FAMILY MEMBER WHO RECEIVED THE GRATUITY

N/A

5. NAME OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

N/A

6. ADDRESS OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

N/A

7. DESCRIPTION OF THE GRATUITY

N/A

8. COST OF THE GRATUITY (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity.)

N/A

9. The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.

Dana Burkett
Signature6/29/09
DateDANA BURKETT
Print Name

A copy of your completed form will be placed on the Shelby County Internet website.

Dana Davis Burkett is a Branch Manager, Vice President and Loan Officer for Commercial Bank & Trust. She joined Commercial Bank & Trust Company in 2007 with almost 13 years of banking experience in Memphis. In 2001, Dana was honored by a nomination into the **Top 40 under 40** by the Memphis Business Journal. With a highly successful banking career and significant community outreach, the award was no surprise. Dana served on the Memphis Central Rotary Club board for four years, during which time she was named a Paul Harris fellow and served numerous offices including Secretary, Treasurer, Program Chair and President-Elect. Dana was a member of Les Jeunes Passees for six years and served on the organization's Board for two years. She helped the organization fulfill their mission to support and enhance the quality of life for low-income families and their children. She also served on the Board for the Collierville Education Foundation, an organization which awards grants to teachers in seven area schools. Dana has been a member of Young Women in Philanthropy for two years, partnering with the Women's Foundation to promote women's success in our community through mentorships, education and grants. Dana served on the Orpheum Board for six years and on the Playhouse on the Square for two years. Both roles involved significant community and finance insight and outreach. Dana was a graduate of the Leadership Memphis executive program in 2006. She continues to serve on the programs and alumni committees.

Dana's professional banking career has included loans in excess of \$1,000,000 to promote Small Business Development. She manages a loan portfolio totaling nearly \$20,000,000. She also manages all Branch deposits with a staff of 3 Customer Service Representatives and 1 Loan Documentation Specialist.

Dana's life outside of banking and community outreach includes caring for her family: husband Adam, two small children- Ellen (2) and son Hayes (4 months), and Max the Dog.

Board/Commission

Division of County Government

Name: Brandy Johnson-Ward

Date of Birth: May 1, 1979 Race Black M F X

Business Name: _____

Business Address: _____ **Zip:** _____ **Phone** _____

E-mail brandvann21@hotmail.com Fax _____

Education: Univ. of Memphis, Bachelor of Arts Political Science with Minor in English

Name of Spouse: Marcus Ward Number of Children 0

Home Address: 8690 Riverwood Farms Pkwy 38016 Phone 901-753-8344

Resident of Shelby County 12 years.

Have you ever been convicted of a felony? Yes _____ No X

I give authorization to Shelby County Government to request that the Shelby County Sheriff's Department perform a background check. Yes X No

I also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed.

Yes

List any affiliation or ownership interest you have in any business, corporation, or partnership, and if such business contracts with or sells products or services to Shelby County Government.

N/A

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

Marcus Ward, Senior Assistant Attorney, City of Memphis

The City of Memphis contracts with the county of Shelby as it relates to certain intergovernmental matters, however, no contracts inure to our personal benefit

Signature: Brandon Williams

Signature Brandy Johnson-Ward
Date 6/30/09

(For Office Use Only)

Attendance Record (if applicable)

No. of Meetings _____ No. Attend _____ No. Absent _____

Disposition:

Approval _____ Resolution _____ Item # _____

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Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

- 1 Junior League of Memphis
- 2 Leadership Memphis, Class of 2006, Alumni
- 3 _____
- 4 _____
- 5 _____
- 6 _____
- 7 _____
- 8 _____

List below any of the aforementioned clubs of which you belong that do not have female members.

- 1 N/A
- 2 _____
- 3 _____
- 4 _____

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g., African American, Hispanic, Asian).

- 1 N/A
- 2 _____
- 3 _____
- 4 _____

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Circle Below:

Yes ☐ No ☒

Submitted: Brandy Johnson-Ward
(Print Name)

Signature: Brandy Johnson-Ward

Date: 6/30/09

GRATUITY DISCLOSURE FORM

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1. NAME

Brandy Johnson-Ward

2. DATE OF GRATUITY

N/A

3. NATURE AND PURPOSE OF THE GRATUITY

N/A

4. NAME OF THE OFFICIAL, EMPLOYEE, APPOINTEE, OR FAMILY MEMBER WHO RECEIVED THE GRATUITY

N/A

5. NAME OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

N/A

6. ADDRESS OF THE PERSON OR ENTITY THAT PROVIDED THE GRATUITY

N/A

7. DESCRIPTION OF THE GRATUITY

N/A

8. COST OF THE GRATUITY (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity.)

N/A

9. The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.

Brandy Johnson-Ward
Signature

6/30/09
Date

Brandy Johnson-Ward
Print Name

A copy of your completed form will be placed on the Shelby County Internet website.

BRANDY ANN JOHNSON-WARD

8690 Riverwood Farms Parkway
Cordova, TN 38016
(901) 230-4597
Brandyann21@hotmail.com

SUMMARY OF QUALIFICATIONS

Investment Banker:

Performance driven, insightful investment banker with a proven track record of advising companies, institutions and municipalities on how to achieve their financial goals and implement their strategic plans in a highly competitive and complex investment environment.

Financial Analysis:

Proficient in accessing market conditions and developing complex financial data as a basis for decision making to achieve desired results based on historical analysis and financial modeling. Able to utilize computer technology to develop and present appropriate financial results and solutions to clients.

Research Coordinator/Business Liaison:

Extensive experience in developing long-term business relationships with clients by researching and structuring investment opportunities to help them achieve their investment goals. Coordinates teams of professionals to obtain relevant information and market intelligence on detailed terms of investment deals. Serves as the liaison between clients, underwriters, and executive management in identifying new business opportunities.

PROFESSIONAL EXPERIENCE

DUNCAN-WILLIAMS, INC. – Memphis, Tennessee

Vice President – Investment Banking – TN, 2006 – 2009

- Responsible for providing investment banking services to public sector clients, which include, but are not limited to municipalities, housing authorities, airports, and public sector utility companies.
- Responsible for thoroughly researching market conditions and developments for clients in helping achieve their investment strategies.
- Representative clients include: Memphis, Light Gas, and Water (MLGW), City of Memphis, Shelby County Tennessee, State of Tennessee, Tennessee Housing Development Authority, and other municipal entities.

Investment Banker 2003 - 2006

- Responsible for examining financing alternatives, and developing financial solutions for government entities based on market trend analysis.
- Responsible for utilizing financial modeling tools to develop and present financial solutions to clients.
- Successfully worked on the underwriting of several multi-million dollar municipal transactions.

Financial Analyst 2002 - 2003

- Responsible for using MUNEX database and other financial systems to provide structural analysis on municipal bond issues.
- Served as a liaison for communications between bond counsel, rating agencies, and financial advisors.
- Duties also included preparing issue data and materials for all public finance closings, as well as creating and compiling the policies and procedures for the Public Finance Department.

PROFESSIONAL ACHEIVEMENTS

- Secured Duncan Williams, Inc. as an underwriter in a public utility bond issue for MLGW, which was the largest transaction handled by the firm in its 40 year history.
- Successfully negotiated the promotion of Duncan Williams, Inc.'s role in transactions issued by the State of Tennessee from selling group to co-manager.
- Expanded Duncan Williams Inc.'s client base in West and Middle Tennessee, including Nashville and Chattanooga.

LICENSES and CERTIFICATIONS

- Series 7 General Securities Registered Representative; Series 63 Uniform Securities Agent Law State of Tennessee; Department of Commerce and Insurance Producer.

EDUCATION

The University of Memphis, 1997-2001 (Summa Cum Laude)

- Bachelor of Arts Political Science with a minor in English - 2001; National Deans List; Recipient of the Outstanding Student Award(awarded to the student with the highest GPA in Political Science); Member of the Golden Key Honor Society.

PROFESSIONAL ORGANIZATIONS

- Leadership Memphis, Executive Leadership Class of 2006; Government Finance Officer's Association; NAACP Silver Life Member; Fundraising Representative, Civitan, Inc.; Junior League of Memphis; Tennessee Government Finance Officers Association; Member; Tennessee Gas Association; Member, Tennessee Municipal Electric Power Association; Tennessee Association of Utility Districts